

SOM2IF15 – Compilation

Syntactic Analysis

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- 1 Reminder: Context Free Grammars & BNF Notation
- 2 Syntactic Analysis in Compilers: an Overview
- 3 Syntactic Analysis with ANTLR

Definition (Context-Free Grammar)

A context-free grammar is a quadruple (NT, T, R, S) where:

1. NT is a finite set of symbols (**non-terminal** symbols, or syntactic categories)
2. T is a finite set of symbols (**terminal** symbols)
3. R is a finite set of **productions** (or rules), each of which is of the form: $V \rightarrow w$ where:
 - ▶ V (the **head**) is a single non-terminal symbol
 - ▶ w (the body) is a string composed of zero or more terminal or non-terminal symbols (w is a string over $T \cup NT$)
4. S is the initial symbol ($S \in NT$)

Syntax: Context-Free Grammar Example

Example: A Context-Free Grammar for SL

$G = (\{X, D, N, A, E, I, P\},$
 $\{a, \dots, z, 0, \dots, 9, +, -, /, \times, =, (,), ;\},$
 $R, P)$

where R is the following sets of productions:

- | | | |
|------------------------|--------------------------------|--|
| 1. $D \rightarrow 0$ | 39. $A \rightarrow X$ | 46. $I \rightarrow \text{print}(X)$ |
| : | 40. $A \rightarrow N$ | 47. $I \rightarrow \text{input}(X)$ |
| 9. $D \rightarrow 9$ | 41. $E \rightarrow A$ | 48. $I \rightarrow X = E$ |
| 10. $N \rightarrow D$ | 42. $E \rightarrow A + A$ | 49. $I \rightarrow \text{ifz } X \text{ then } X = E$ |
| 11. $N \rightarrow ND$ | 43. $E \rightarrow A - A$ | 50. $I \rightarrow \text{ifdz } X \text{ then } X = E$ |
| 12. $X \rightarrow a$ | 44. $E \rightarrow A / A$ | 51. $P \rightarrow \epsilon$ |
| : | 45. $E \rightarrow A \times A$ | 52. $P \rightarrow I; P$ |
| 38. $X \rightarrow z$ | | |

Syntax: Context-Free Grammars

Definition (Derivation)

Let G be a grammar (NT, T, R, S) , and two strings u and u' over $T \cup NT$.

- ▶ u' **immediately derived** from u (written $u \Rightarrow u'$) if u' is obtained by replacing in u a non-terminal symbol V by a sequence w with the rule $V \rightarrow w$.
- ▶ u' is **derived** from u (written $u \Rightarrow^* u'$) if there exists a finite sequence of immediate derivations from u to u' .

Definition (Generated Language)

The language generated by a grammar $G = (NT, T, R, S)$, is the set

$$\mathcal{L}(G) = \{ w \in T^* \mid S \Rightarrow^* w \}$$

That is every word (sequence of finite symbol) that can be derived from the initial element of the grammar.

Syntax: Context-Free Grammars – Derivation Example

P

Syntax: Context-Free Grammars – Derivation Example

$P \Rightarrow I; P$

by rule 52: $P \rightarrow I; P$

Syntax: Context-Free Grammars – Derivation Example

$$\begin{aligned} P &\Rightarrow I; P \\ &\Rightarrow I; I; P \end{aligned}$$

by rule 52: $P \rightarrow I; P$

by rule 52: $P \rightarrow I; P$

Syntax: Context-Free Grammars – Derivation Example

$P \Rightarrow I; P$
 $\Rightarrow I; I; P$
 $\Rightarrow I; I; I; P$

by rule 52: $P \rightarrow I; P$

by rule 52: $P \rightarrow I; P$

by rule 52: $P \rightarrow I; P$

Syntax: Context-Free Grammars – Derivation Example

$P \Rightarrow I; P$
 $\Rightarrow I; I; P$
 $\Rightarrow I; I; I; P$
 $\Rightarrow I; I; I;$

by rule 52: $P \rightarrow I; P$

by rule 52: $P \rightarrow I; P$

by rule 52: $P \rightarrow I; P$

by rule 51: $P \rightarrow \epsilon$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$
	\Rightarrow	$\text{input}(X); X = X + A; \text{print}(X);$	by rule 39: $A \rightarrow X$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$
	\Rightarrow	$\text{input}(X); X = X + A; \text{print}(X);$	by rule 39: $A \rightarrow X$
	\Rightarrow	$\text{input}(X); X = X + N; \text{print}(X);$	by rule 40: $A \rightarrow N$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$
	\Rightarrow	$\text{input}(X); X = X + A; \text{print}(X);$	by rule 39: $A \rightarrow X$
	\Rightarrow	$\text{input}(X); X = X + N; \text{print}(X);$	by rule 40: $A \rightarrow N$
	\Rightarrow^4	$\text{input}(a); a = a + N; \text{print}(a);$	by rule 12: $X \rightarrow a$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$
	\Rightarrow	$\text{input}(X); X = X + A; \text{print}(X);$	by rule 39: $A \rightarrow X$
	\Rightarrow	$\text{input}(X); X = X + N; \text{print}(X);$	by rule 40: $A \rightarrow N$
	\Rightarrow^4	$\text{input}(a); a = a + N; \text{print}(a);$	by rule 12: $X \rightarrow a$
	\Rightarrow	$\text{input}(a); a = a + D; \text{print}(a);$	by rule 10: $N \rightarrow D$

Syntax: Context-Free Grammars – Derivation Example

P	\Rightarrow	$I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I; P$	by rule 52: $P \rightarrow I; P$
	\Rightarrow	$I; I; I;$	by rule 51: $P \rightarrow \epsilon$
	\Rightarrow	$\text{input}(X); I; I;$	by rule 47: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = E; I;$	by rule 48: $I \rightarrow X = E$
	\Rightarrow	$\text{input}(X); X = E; \text{print}(X);$	by rule 46: $I \rightarrow \text{input}(X)$
	\Rightarrow	$\text{input}(X); X = A + A; \text{print}(X);$	by rule 42: $E \rightarrow A + A$
	\Rightarrow	$\text{input}(X); X = X + A; \text{print}(X);$	by rule 39: $A \rightarrow X$
	\Rightarrow	$\text{input}(X); X = X + N; \text{print}(X);$	by rule 40: $A \rightarrow N$
	\Rightarrow^4	$\text{input}(a); a = a + N; \text{print}(a);$	by rule 12: $X \rightarrow a$
	\Rightarrow	$\text{input}(a); a = a + D; \text{print}(a);$	by rule 10: $N \rightarrow D$
	\Rightarrow	$\text{input}(a); a = a + 1; \text{print}(a);$	by rule 2: $D \rightarrow 1$

Exercise

$G = (\{F, D, N\}, \{0, \dots, 9, .\}, R, F)$

where R is the following sets of productions:

▶ $D \rightarrow 0$

⋮

▶ $D \rightarrow 9$

▶ $N \rightarrow ND$

▶ $N \rightarrow D$

▶ $F \rightarrow N.N$

▶ $F \rightarrow N$

Show that there exists a **derivation** such that: $F \Rightarrow^* 255.32$

Definition (Derivation Tree)

Let G be a grammar (NT, T, R, S) .

A **derivation tree** (or **parse tree**) is an ordered tree in which:

1. Each node is labelled with a symbol in $NT \cup T \cup \{\epsilon\}$
2. The root is labelled with S
3. Each interior node is labelled with a symbol in NT
4. If a certain node has the label $A \in NT$ and its children are m_1, \dots, m_k labelled respectively with X_1, \dots, X_k where $X_i \in NT \cup T$ for all $i \in [1, k]$, then $A \rightarrow X_1 \dots X_k$ is a production of R
5. If a node has label ϵ , then that node is the unique child. If A is its parent, $A \rightarrow \epsilon$ is a production in R

Syntax: Context-Free Grammars

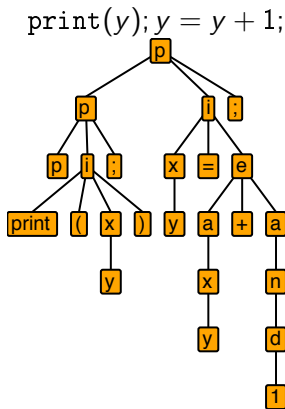
Example

$G = (\{X, D, N, A, E, I, P\}, \{a, \dots, z, 0, \dots, 9, +, -, /, \times, =, (,), ;\}, R, P)$

with R the following sets of productions:

- | | | |
|------------------------|--------------------------------|--|
| 1. $D \rightarrow 0$ | 39. $A \rightarrow X$ | 46. $I \rightarrow \text{print}(X)$ |
| \vdots | 40. $A \rightarrow N$ | 47. $I \rightarrow \text{input}(X)$ |
| 9. $D \rightarrow 9$ | 41. $E \rightarrow A$ | 48. $I \rightarrow X = E$ |
| 10. $N \rightarrow D$ | 42. $E \rightarrow A + A$ | 49. $I \rightarrow$
ifz X then $X = E$ |
| 11. $N \rightarrow ND$ | 43. $E \rightarrow A - A$ | 50. $I \rightarrow$
ifdz X then $X = E$ |
| 12. $X \rightarrow a$ | 44. $E \rightarrow A / A$ | 51. $P \rightarrow \epsilon$ |
| \vdots | 45. $E \rightarrow A \times A$ | 52. $P \rightarrow P I;$ |
| 38. $X \rightarrow z$ | | |

Parse Tree



Syntax: Context-Free Grammars

Definition

A string of characters s admits a parse tree T , if s is the result of the left-to-right traversal of T

Definition (Ambiguity)

A grammar G is **ambiguous** if there exists at least one string of $\mathcal{L}(G)$ which admits more than one derivation tree

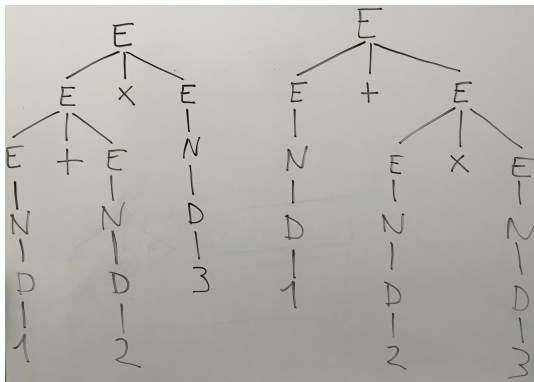
Syntax: Context-Free Grammars

Example

• $G = (\{ E, N, D \}, \{ 0, \dots, 9, +, \times, \}, R, E)$

with R the following sets of productions:

- ▶ $D \rightarrow 0$
- ▶ $N \rightarrow ND$
- ▶ ...
- ▶ $E \rightarrow N$
- ▶ $E \rightarrow E + E$
- ▶ $D \rightarrow 9$
- ▶ $E \rightarrow E \times E$
- ▶ $N \rightarrow D$



• $1 + 2 \times 3$ admits two parse trees

Exercise

- $G = (\{ B, E, S \}, \{ a, b, c, +, \neg, \vee, \wedge \}, R, E)$

with R the following sets of productions:

$$\blacktriangleright B \rightarrow a$$

$$\blacktriangleright B \rightarrow b$$

$$\blacktriangleright B \rightarrow c$$

$$\blacktriangleright S \rightarrow \vee$$

$$\blacktriangleright E \rightarrow B$$

$$\blacktriangleright E \rightarrow E S E$$

$$\blacktriangleright E \rightarrow \neg E$$

$$\blacktriangleright S \rightarrow \wedge$$

- Find, at least, two parse trees for $a \vee \neg b \wedge c \vee b$.

Backus-Naur Form (BNF)

- ▶ Usually \rightarrow is replaced by $::=$
- ▶ Usually non-terminal symbols are written between angle brackets
- ▶ Productions with the same head are grouped together and separated using a vertical bar

There are variants of these conventions

Backus-Naur Form: An Example

1. $\langle D \rangle ::= 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$
2. $\langle N \rangle ::= \langle D \rangle \mid \langle N \rangle \langle D \rangle$
3. $\langle X \rangle ::= a \mid b \mid c \mid d \mid e \mid f \mid g \mid h \mid i \mid j \mid k \mid l \mid m \mid n \mid o \mid p \mid q \mid r \mid s$
 $\quad \mid t \mid u \mid v \mid w \mid x \mid y \mid z$
4. $\langle A \rangle ::= \langle X \rangle \mid \langle N \rangle$
5. $\langle E \rangle ::= \langle A \rangle \mid \langle A \rangle + \langle A \rangle \mid \langle A \rangle - \langle A \rangle \mid \langle A \rangle / \langle A \rangle \mid \langle A \rangle \times \langle A \rangle$
6. $\langle I \rangle ::= \text{print}(\langle X \rangle) \mid \text{input}(\langle X \rangle) \mid \langle X \rangle = \langle E \rangle$
 $\quad \mid \text{ifz } \langle X \rangle \text{ then } \langle X \rangle = \langle E \rangle$
7. $\langle P \rangle ::= \mid \langle I \rangle; \langle P \rangle$

Syntax: Context-Free Grammars

Extended Backus-Naur Form (BNF)

BNF extended with regular expression notations

- ▶ Many variants
- ▶ Usually includes:
 - ▶ Grouping
 - ▶ Repetitions

Example

A program is a, possibly empty, sequence of instructions:

$$\langle P \rangle ::= \{ \langle I \rangle ; \}^*$$

How to Define the Syntax of a Programming Language?

BNF and Extended BNF

- ▶ There are many variants of these notations
- ▶ Extended BNF = BNF + grouping + repetitions

More Examples

Java Reference Manual

- ▶ Terminal symbols are shown in *fixed* width font in the productions of the lexical and syntactic grammars [...]
- ▶ Nonterminal symbols are shown in *italic* type [...]
- ▶ The syntax $\{x\}$ on the right-hand side of a production denotes zero or more occurrences of x [...]
- ▶ The syntax $[x]$ on the right-hand side of a production denotes zero or one occurrences of x . That is, x is an optional symbol [...]

EnumDeclaration:

ClassModifier *enum* *TypeIdentifier* [*Superinterfaces*] *EnumBody*

EnumBody:

[*EnumConstantList*] [,] [*EnumBodyDeclarations*]

EnumConstantList:

EnumConstant , *EnumConstant*

EnumConstant:

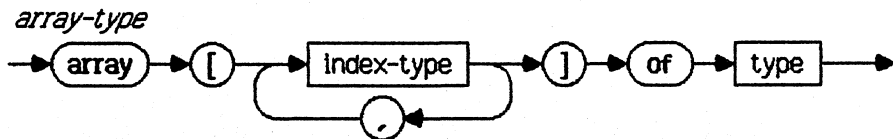
EnumConstantModifier *Identifier* [([*ArgumentList*])] [*ClassBody*]

EnumConstantModifier:

Annotation

More Examples

Pascal



- 1 Reminder: Context Free Grammars & BNF Notation
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Syntactic Analysis

Two Phases

- ▶ Lexical analysis:
source program
→ sequence of
words/tokens
- ▶ Parsing:
sequence of
tokens →
phrase structure
(tree)

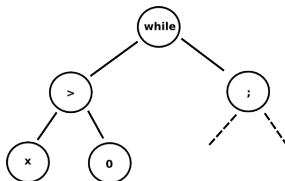
Example

```
while (x > 0) {  
    x := x - 1;  
    y := y + 1  
}
```

↓ *lexical analysis*

while (x > 0) { ... y }

↓ *parsing*



Lexical Analysis

Overview

- ▶ Tokens as regular expressions
- ▶ Regular expressions \rightarrow automata (RE \rightarrow NFA \rightarrow DFA)
- ▶ Implementation of automata

References

- ▶ Automata Theory / “Théorie des langages”
- ▶ Appel Chapter 2

Tools

- ▶ JavaCC
- ▶ SableCC
- ▶ Lex
- ▶ OCamlLex
- ▶ ...

Expressivity of Formalisms

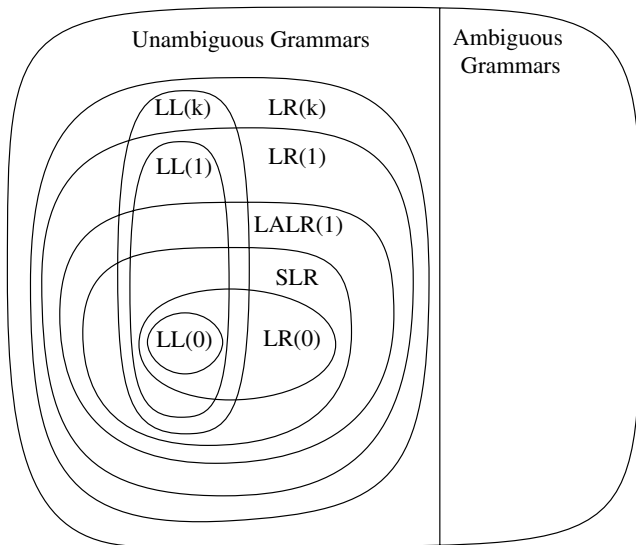
- ▶ A programming language cannot (in general) be described only by a regular expression
- ▶ More expressive formalism: context free grammars
- ▶ Notation: Backus Naur Form (BNF)

Algorithms for Parsing

(Appel Chapter 3)

- ▶ $LL(k)$: leftmost-derivation, k symbols lookahead
 - ▶ recursive-descent (predictive)
 - ▶ predictive parsing tables
- ▶ $LR(k)$: rightmost-derivation, k token lookahead
 - ▶ stack + k tokens
 - ▶ 2 operations:
 - ▶ shift: input token \rightarrow stack)
 - ▶ reduce: grammar rule applied to the stack
 - ▶ shift or reduce: automaton (parsing table)
- ▶ $LALR(1)$: optimization of the parsing table

Parsing: Hierarchy of Grammar Classes



Appel page 66

Parsing: Parser Generators

Principle

- ▶ Input: grammar in BNF-like notation
- ▶ Output: programs for parsing
- ▶ Rely on lexers

Tools

- ▶ JavaCC: $LL(k)$
- ▶ SableCC: LALR(1)
- ▶ Yacc: LALR(1)
- ▶ Menhir: LR(1)
- ▶ ANTLR: ALL(*)

(paper)

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Lexical Analysis with ANTLR

Lexer Grammar

- ▶ Set of “lexer rules”
- ▶ Rule format:
Non-terminal (uppercase) : regular expression

Example (file: Example.g4)

```
lexer grammar Example;
```

```
ID : [a-zA-Z]+ ;           // identifiers
```

```
INT: [0-9]+ ;             // integers
```

```
NEWLINE : '\r'? '\n' ;    // line return
```

```
WS : [ \t] -> skip;      // skip spaces
```


Non-disjoint Lexical Rules

- ▶ Assume `var` is a reserved word of the language

- ▶ Lexer grammar:

```
lexer grammar Example;
```

```
VAR : 'var' ;           // keyword var
```

```
ID  : [a-zA-Z]+ ;       // identifiers
```

- ▶ Priority: order of rules (VAR has priority over ID)
- ▶ `var` recognized as the VAR token
- ▶ `variable` recognized as an ID token

Syntactic Rules

- ▶ BNF-like notation
- ▶ Rule format:
Non-terminal (lowercase) : sequence of terminal/non-terminal
- ▶ Alternative: |
- ▶ End of rule: ;
- ▶ Special terminal: EOF (end of file)

Example

```
grammar Pico;

// Grammar Rules:
prog: (instruction ';'*) EOF           #Program
    ;

instruction : 'VAR' Identifier ':= ' expression #Assign
            | 'WRITE' '(' expression ')'       #Write
            ;

expression : expression op=('*' | '/' ) expression #MulDiv
            | expression op=('+' | '-' ) expression #AddSub
            | Identifier                          #Identifier
            | Constant                            #Constant
            | '(' expression ')'                  #Parenthesis
            ;

// Lexer Rules:
Identifier : [a-z]+;

Constant : [0-9]+;

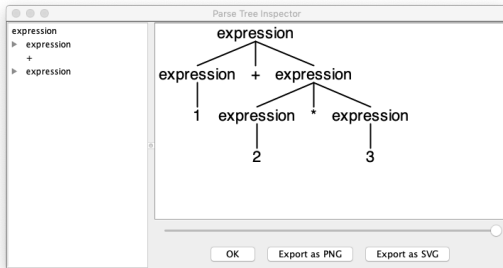
WS : [ \t\r\n]+ -> skip;
```

Code Generation

- ▶ `antlr4 grammar file`
- ▶ `javac *.java`
- ▶ Test: `grun Grammar-name non-terminal -gui`

Example

```
grun Pico expression -gui  
1+2*3  
Control+D
```



Grammar Rule

A Grammar Rule in Details

`expression : expression op=('*' | '/') expression #MulDiv`

- ▶ ANTLR produces Java (or other language) code to represent **parse trees**
- ▶ Each node is an object of the class `Context`
- ▶ Code easier to use when each rule is labeled:
each particular context will have its own “context” class
Example: the label is `#MulDiv`
- ▶ Non-terminal symbols are translated as **access** methods
Example:

```
ExpressionContext expression(int i)
```

- ▶ Annotation `op=` allows to add a field `op` to the class `MulDivContext` that allows to get the recognized token (of type `Token`)